

05-24-01

A

UTILITY PATENT APPLICATION TRANSMITTAL
(for Noncontinuing, Nonprovisional
Applications under 37 C.F.R. §1.53(b))

Attorney Docket No. 70651

Box PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing
under 37 C.F.R. §1.53(b) is the
nonprovisional, noncontinuing
patent application for:

Title: FREE-SPACE OPTICAL
COMMUNICATION SYSTEM EMPLOYING
WAVELENGTH CONVERSION

First Named Inventor or
Appln. Identifier: Clark, et al.

) CERTIFICATE OF MAILING BY "EXPRESS MAIL"
) "Express Mail" Mailing Label Number
) EL591660239 US
) Date of Deposit May 21, 2001
) I hereby certify that this paper or fee is being
) deposited with the United States Postal Service
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) and is addressed to the Commissioner for Patents,
) Washington, D.C. 20231.
) Carrie Merzbacher
) (Typed or printed name of person mailing)
) (Signature of person mailing)

- (X) 46 pages of the specification (including claims and abstract) are enclosed.
- (X) 1 page cover sheet
- (X) 15 sheet(s) of drawings are enclosed.
- () An executed Oath or Declaration and Power of Attorney naming the actual inventors is enclosed.
- (X) The names of persons believed to be the actual inventors are set forth in the enclosed unexecuted Oath or Declaration and Power of Attorney (§1.41(a) and §1.53(b)).
- () An Assignment(s) of the invention to _____, and cover sheet are enclosed.
- () A check in the amount of \$_____ to cover the fee for recording the assignment(s) is enclosed.
- () A 37 C.F.R. §3.73(b) Statement is enclosed (where an Assignee seeks to take action in a matter before the Patent Office).
- () Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).

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05/21/01

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09/864093
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- () An Information Disclosure Statement is enclosed.
- () A Form PTO-1449 is enclosed.
- () _____ References (copies) listed on the Form PTO-1449 are enclosed.
- (X) A Return Receipt Postcard is enclosed (MPEP §503).

() Priority of application number ____/____ filed on _____ in _____ is claimed under 35 U.S.C. §119.

() A certified copy of the priority document is enclosed.

() A MicroFiche Computer Program (Appendix) is enclosed.

() A Nucleotide and/or Amino Acid Sequence Submission is enclosed.

() A Computer Readable Copy is enclosed.

() A Paper Copy (Identical to Computer Copy) is enclosed.

() A Statement Verifying Identity of above Copies is enclosed.

() The filing fee is calculated below:

Fee Calculation For Claims As Filed

(a) Basic Fee \$ 710.00

(b) Independent Claims 6 - 3 = 3 x \$ 80.00 = \$ 240.00

(c) Total Claims 50 - 20 = 30 x \$ 18.00 = \$ 540.00

(d) Fee for Multiple Dependent Claims \$270.00 \$ _____

Total Filing Fee \$ 1,490.00

() Applicant(s) assert that they are entitled to small entity status, reducing the Filing Fee by half to: \$ _____

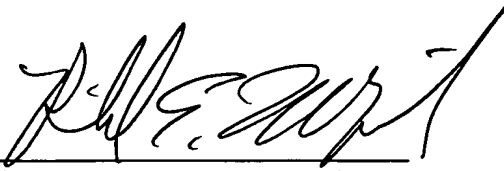
() A check in the amount of \$ _____ to cover the filing fee is enclosed.

- () Charge \$_____ to Deposit Account No.
- (X) The payment of the Filing Fee is to be deferred until the Declaration is filed. Do not charge our Deposit Account.
- () A separate written request under 37 C.F.R. §1.136(a)(3), which is a general authorization to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. §1.136(a) for its timely submission as incorporating a petition for an extension of time for the appropriate length of time, is enclosed.
- () The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. This sheet is filed in duplicate.
- (X) Address all future communications to Customer Number 22242.



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May 21, 2001
Date


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